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## **FACSIMILE COVER SHEET**

### **CHIRON CORPORATION**

**Intellectual Property**

**4560 Horton Street**

**Emeryville, CA 94608-2916 USA**

**TEL: (510) 923-2714**

**FAX: (510) 655-3542**

**Date: January 17, 2002**

**Number of Pages: 5 (including cover page)**

**Facsimile No.: (703) 308-7751**

**To: Ms. Smallwood  
Customer Correction Branch  
USPTO**

**From: Nancy L. Swanson, Assistant to Kim Morley  
Patent Department - Chiron Corporation**

**Re: U.S. Application No. 09/602,597  
Our Reference No.: PP-01568.002**

**Dear Ms. Smallwood:**

Our Docketing Department has requested me to inquire about the status of a "corrected" Filing Receipt for the above-identified patent application. On November 22, 2000, Chiron submitted a "Request for Corrected Filing Receipt" -- see attached. Your assistance in sending a corrected filing receipt to Chiron Corporation will be sincerely appreciated. Thank you for your assistance.

**Nancy L. Swanson**

Please contact Nancy Swanson at (510) 923-2714 if you have any problems receiving this transmission.

TECH CENTER 1600/2900

JAN 24 2002

RECEIVED

SERIAL NO. 09/602,597 FILED 01/22/02 INT. NO. 1858,002 ATTY KLM  
 TITLE Human Chromosome 15 and 16 Linkage Panel Syndrome Polynucleotides  
 APPLICANT Dahl and Gaudin DATE MAILED 11/22/00

☐ CERTIFICATE OF MAILING ☐ EXPRESS MAIL ☐ NO

## THE U.S. PATENT &amp; TRADEMARK OFFICE ACKNOWLEDGES RECEIPT OF

☐ pp Spec ☐ Claims ☐ Abstract

☒ Auth. to Charge Deposit Account No. 03-1664

☐ Fees \$            Check #           

☐ Declaration ☐ Signed ☐ Unsigned

☐ Assignment (w/cover letter)            pages

☐ Power of Attorney ☐ Appointment of Agent

☐ Informal Drawings            sheets

☐ Formal Drawings            sheets

☐ Information Disclosure Statement            pages

☐ Form 1449 with            References

☐ Paper Sequence Listing            pages

☐ Disk Containing Sequence Listing

☐

☐ Amendment           

☐ Rule 1.60: Cont/GIV/GP of USSN

☐ Rule 1.62 of USSN

☐ Reunion

☒ Request for Corrected Official

☒ Filing Receipt with Exhibits A and B attached thereto.

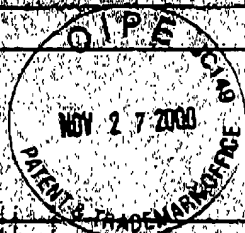
☐ Declaration/Affidavit

☐ Notice of Appeal

☐ Appeal Brief, plus 2 copies

☐ Issue Fee Transmittal

☐



COPY

PATENT  
U.S. Patent Application No. 09/602,597  
Atty. Docket No. PP-01568.002

I hereby certify that this paper is being deposited in the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on November 22, 2000

Nancy L. Swanson  
Nancy L. Swanson

November 22, 2000  
Date

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: DAVID DUHL and SUSAN W. GORMAN  
Serial No.: 09/602,597 Group Art: 1646  
Filed: 06/22/00 Examiner: Unassigned  
For: HUMAN CHROMOSOME 15 AND 16 BARDET-BIEDL SYNDROME  
POLYNUCLEOTIDES AND POLYPEPTIDES AND METHODS OF USE

## REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Forward to: Office of Initial Patent Examination's  
Customer Service Center

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Attached is a copy of the official Filing Receipt received from the Patent and Trademark Office in the above-identified patent application for which issuance of a corrected filing receipt is respectfully requested.

COPY

PATENT  
U.S. Patent Application No. 09/602,597  
Atty. Docket No. PP-01568.002

In the "Applicant" section, please correct the name of the inventor from "Susan W. Gordon" to --Susan W. Gorman-- (see Exhibit A and B attached hereto).

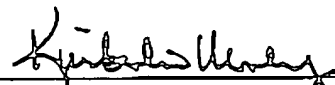
In the "Continuing Data as Claimed by Applicant" section, please add (See Exhibit A and B attached hereto):

--Claims the Benefit of Provisional Application No.  
60/141,753, filed 06/30/99--

The Assistant Commissioner is hereby authorized to charge any fees associated with this communication and which may be required under 37 CFR 1.16 and 1.17 to Deposit Account No. 03-1664. This, however, is not authorization to pay the issue fee.

Respectfully submitted,

By:

  
\_\_\_\_\_  
Kimberlin L. Morley  
Attorney for Applicant  
Reg. No. 35,391

Date:

Jan 22, 2000

CHIRON CORPORATION  
Intellectual Property-R440  
4560 Horton Street  
Emeryville, CA 94608-2916

COPY

EXHIBIT A



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/602,597	06/22/2000	1646	1222	1568.002/200130.472	13	25	7

## FILING RECEIPT

FOR FILING THIS APPLICATION, THE FOLLOWING INFORMATION WAS RECEIVED:  
\*OC000000005548604\*

Chiron Corporation  
Intellectual Property R338  
PO Box 8097  
Emeryville, CA 94662-8097

Date Mailed: 11/13/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

David Duhi, Oakland, CA;  
Susan W. Gordon, Santa Monica, CA;

GORMAN

should be:

Continuing Data as Claimed by Applicant Please add:

Claims the Benefit of Provisional Application No.

60/141,753, filed 06/30/99

Foreign Applications

KLM

PP01568.02

CLOS

FLOP

FLOP

FLOP

Dm

11/20/00

If Required, Foreign Filing License Granted 08/22/2000

## Title

Human chromosome 15 and 16 bardet-biedl syndrome polynucleotides and polypeptides and methods of use

## Preliminary Class

514

Data entry by : DADE, JOAN

Team : OIPE

Date: 11/13/2000

A RECEIPT FOR THE FILING OF THIS APPLICATION WAS ISSUED BY THE UNITED STATES PATENT AND TRADEMARK OFFICE ON 11/13/2000.

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11/11/00

**FILE COPY**

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Bib Data Sheet

CONFIRMATION NO. 8915

<b>SERIAL NUMBER</b> 09/602,597	<b>FILING DATE</b> 06/22/2000 <b>RULE</b>	<b>CLASS</b> 435	<b>GROUP ART UNIT</b> 1647	<b>ATTORNEY DOCKET NO.</b> 1568.002/200130.472	
<b>APPLICANTS</b> David Duhl, Oakland, CA; Susan W. Gorman, Santa Monica, CA;				<b>RECEIVED</b> JAN 24 2002 TECH CENTER 1600/2900	
<b>** CONTINUING DATA *****</b> THIS APPLN CLAIMS BENEFIT OF 60/141,753 06/30/1999 SLW					
<b>** FOREIGN APPLICATIONS *****</b>					
<b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED</b> ** 08/22/2000					
Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged	Examiner's Signature <i>Sandra Hegert</i> Initials	<b>STATE OR COUNTRY</b> CA	<b>SHEETS DRAWING</b> 13	<b>TOTAL CLAIMS</b> 25	<b>INDEPENDENT CLAIMS</b> 7
<b>ADDRESS</b> 27476					
<b>TITLE</b> Human chromosome 16 plasmolipin-like polypeptide					
<b>FILING FEE RECEIVED</b> 1222	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:			<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	

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